

คู่มือการลงทุนต่างประเทศ

GLOBAL INVESTMENT GUIDEBOOK



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GLOBAL INVESTMENT GUIDEBOOK

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WHAT IS MY SERVICE?

My Service is an online menu accessible through all Kasikorn Securities channels, including the website, mobile applications like K-Cyber Trade and KS One.

In the My Service menu, there will be a topic menu

“Financial Transactions” which includes

- Deposit
- Withdrawal
- Buy Foreign Currencies
- Sell Foreign Currencies
- Cash Collateral Transfer

“Global Invest” which includes

- Global Invest Trade
- W-8BEN Registration
- Global Invest Portfolio
- ETC.



1 W-8BEN REGISTRATION

The W-8BEN application, required by the US Internal Revenue Service (IRS) for all foreign nationals with US income, enables a reduction of withholding tax on US market investments.

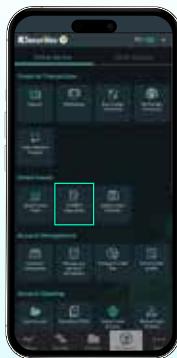
Clients who hold Thai citizenship will benefit from a reduction in dividend tax from 30 percent to 15 percent. For clients who are citizens of other countries, the dividend tax reduction will be determined based on the agreement between the U.S. Internal Revenue Service (IRS) and the client's country.

Kasikorn Securities Clients "No application fee for W-8BEN service"

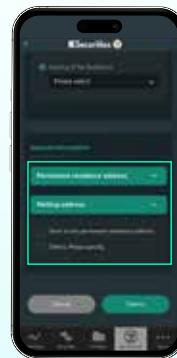
(normally 2,000 baht per 3 calendar years)

HOW TO APPLY W-8BEN VIA MY SERVICE

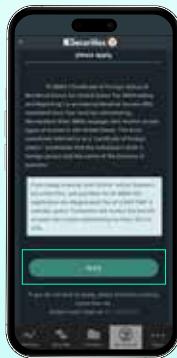
- ① Go to the My Services menu and select W-8BEN Registration.



- ② Fill in the information and check for accuracy. If correct, press OK.



- ③ Read and choose to accept the terms and press confirm.



2 DEPOSIT

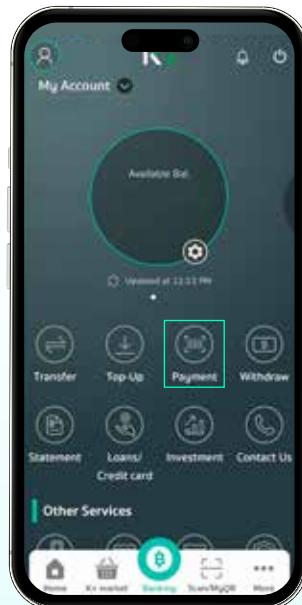
How to deposit cash into your portfolio via KPLUS in real time

- 1 Login to KPLUS and select “Banking” menu.
- 2 Payment > search for 98269.
- 3 Select Kasikorn Securities-For Clients (98269).
- 4 Fill in your trading account number (7 digits) and ID card/passport number.
- 5 Specify the amount you wish to deposit (you can deposit cash up to your approved credit limit. To increase your credit limit, please contact your Equity Wealth Manager.



Deposit cash through other channels

<https://ksecurities.co/Deposit>



3 BUY FOREIGN CURRENCY

Once funds are deposited into your investment portfolio, you can instantly convert them into foreign currency.

- There are no fees or minimum exchange amounts.
- Thai Baht -> Foreign Currency Real-time Exchange Rates for 10 Currencies.

Real Time Exchange Currency



- For the real-time exchange of 10 currencies, the foreign currency will be available in the portfolio within 5 minutes.

Log in to
KS ONE or K-Cyber Trade Application



- ① Select menu: My Service
- ② Global Invest section,
Select "Sell Foreign Currencies"

- Please ensure that you change the currency as needed. For instance, if you would like to invest in US stocks, you will need to exchange for US dollars. Our service system will automatically select the appropriate currency based on the market you choose to invest in.
- **Note:** When making transactions in the following countries, the purchasing power of all currencies is combined. Please check the currency and confirm the correct amount before proceeding.

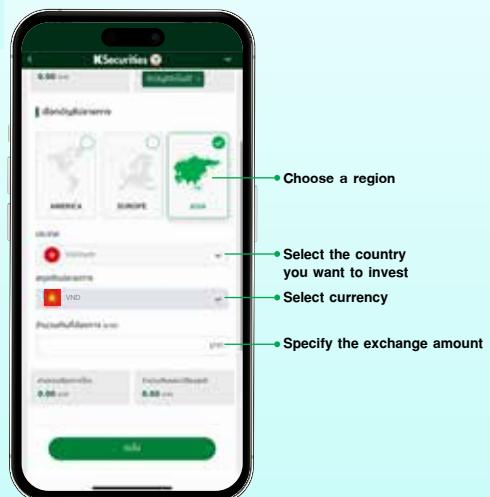
● Thailand	● Germany	● China	● UK
● US	● Ireland	● Denmark	● South Korea
● Hong Kong	● Italy	● Japan	● Taiwan
● Australia	● Netherlands	● Norway	● Vietnam
● Austria	● Portugal	● Singapore	● Indonesia
● Belgium	● Spain	● Sweden	● Malaysia
● Finland	● Canada	● Switzerland	● Philippines
● France			

- If you want to invest in Vietnam, Taiwan, or South Korea, you will need to select the appropriate country and currency.
- If you want to invest in Indonesia, Philippines, or Malaysia, you will use SGD as your settlement currency.

Log in to KS Trade Website



- ① Select menu: My Service
- ② Global Invest section,
Select "Sell Foreign Currencies"



SELL FOREIGN CURRENCIES

Selling currency is only permitted after the settlement date. If you place an order to purchase securities after requesting to sell currency, the company reserves the right to cancel the withdrawal request.

The exchange rate for converting the withdrawal back to baht will be the rate on the day the remittance is received from abroad.

The time it takes to receive the money is approximately 2-7 business days depending on the currency of each country and public holidays.

Please maintain the minimum balance required for each currency, as specified by the company in the guidelines below.

Log in to KS ONE or K-Cyber Trade Application



- ① Select menu: My Service
- ② Global Invest section,
Select "Sell Foreign Currencies"

Log in to KS Trade Website



- ① Select menu: My Service
- ② Global Invest section,
Select "Sell Foreign Currencies"

Service fee

The withdrawal of foreign currency is subject to a fee in Thai Baht, amounting to 900 Baht per transaction per currency.

GLOBAL INVESTMENT OPPORTUNITIES

Unlock new opportunities with a diverse range of product options across various industries, diversify your portfolio to minimize risk (following the adage 'Don't put all your eggs in one basket'), and gain access to high-growth markets around the globe.



Investments that you can access and covers all risks

Global Stocks

28

Country

[More Information](#)

Global Funds

24

List of Funds

[More Information](#)

U.S Treasury bond

200+

Notes

[More Information](#)

INVEST GLOBAL STOCKS ACROSS 28 COUNTRIES

Channels for investing in Global stocks in each market

Invest via KS One Application

- US
- Hong Kong

[More Information](#)

Invest on “My Service” via K-cyber trade / KS Trade / Ks Trade +

<input checked="" type="radio"/> US	<input checked="" type="radio"/> Germany	<input checked="" type="radio"/> China	<input checked="" type="radio"/> South Korea
<input checked="" type="radio"/> Hong Kong	<input checked="" type="radio"/> Ireland	<input checked="" type="radio"/> Denmark	<input checked="" type="radio"/> Taiwan
<input checked="" type="radio"/> Australia	<input checked="" type="radio"/> Italy	<input checked="" type="radio"/> Japan	<input checked="" type="radio"/> Vietnam
<input checked="" type="radio"/> Austria	<input checked="" type="radio"/> Netherlands	<input checked="" type="radio"/> Norway	
<input checked="" type="radio"/> Belgium	<input checked="" type="radio"/> Portugal	<input checked="" type="radio"/> Singapore	
<input checked="" type="radio"/> Finland	<input checked="" type="radio"/> Spain	<input checked="" type="radio"/> Sweden	
<input checked="" type="radio"/> France	<input checked="" type="radio"/> Canada	<input checked="" type="radio"/> Switzerland	
<input checked="" type="radio"/> UK			

Please select the country in which you invest



US / Hong Kong / Canada / China / Japan /
Denmark / Norway / Netherlands / Belgium /
Portugal / France / Finland / Germany / Spain /
Switzerland / Sweden / Singapore / Australia /
Austria / UK / Italy / Ireland

[Select >](#)



Vietnam / Taiwan / South Korea

[Select >](#)

Note: If you would like to invest in Indonesia / Malaysia / Philippines or would like more information, please contact your Financial Consultant or +662-7960999

[More Information](#)

Invest via Financial Consultant

- Indonesia
- Philippines
- Malaysia

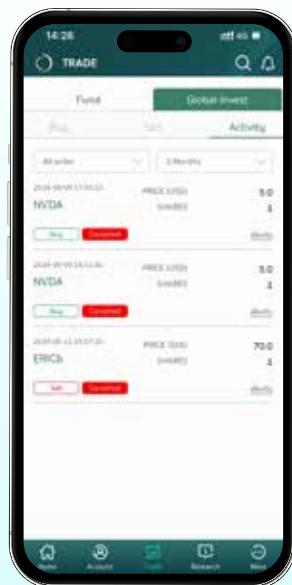
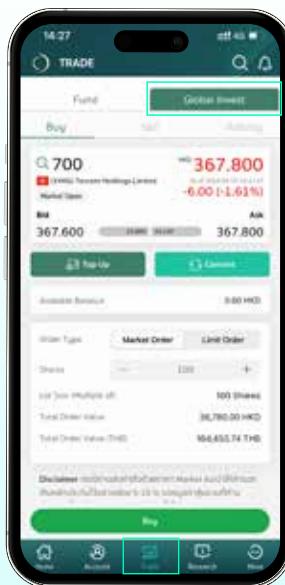
[Contact +662 796 0999](#)

STEPS FOR INVESTING IN US AND HONG KONG STOCKS




via KS One Application

- 1 Login to KS ONE
(Username is Thai Stock Account (6-Digit).
- 2 Select Menu:
Trade > Global Invest.
- 3 Follow your Transaction
in Menu: Activity.



STEPS TO INVEST IN STOCKS ACROSS THE US, HONG KONG, AND 20 OTHER COUNTRIES.

“My Service” via Mobile Application



Note: If you would like to invest in Indonesia / Malaysia / Philippines or would like more information, please contact your Financial Consultant or +662-7960999

“My Service” via Website (www.kasikornsecurities.com)



- 1 Login to KS One or K-Cyber Trade Application.
- 2 Go to the My service menu, topic Foreign Investment.
- 3 Go to the menu “Global Invest Trade”.

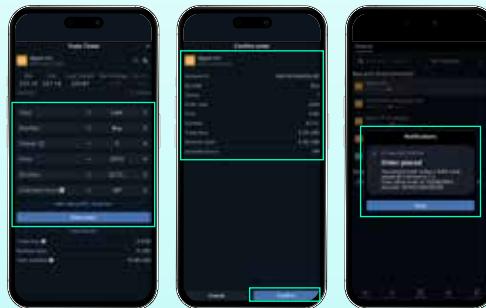
Once you enter the Platform

Let's get started with Trading Part.

Trading Part displays watchlist names, price data, and order status.



1. **Watchlists:** You can also create your own complete customized.
2. **Positions:** The current positions in your portfolio.
3. **Orders:** Your current orders in the market that are awaiting execution.
4. **Charts:** A usable tool for technical analysis and contains multiple indicators for analysis.
5. **Screener:** A tool that filters stocks based on specific criteria.
6. **Price alerts:** Notifications that inform investors when a stock reaches a specified price level.



How to invest

1. Select [Trade] to place a buy/sell order.
2. Adjust the Order Type, Buy/Sell, and quantity to trade.
3. Select “Place Order” to submit, then “Confirm” to finalize or “Cancel” to discard.
4. A Notification will confirm the result.

STEPS TO INVEST IN STOCKS ACROSS THE US, HONG KONG, AND 20 OTHER COUNTRIES.

Portfolio: Displays your holdings, cash overview, position values, account value, and 1-day portfolio value changes.



P/L

The P/L tab shows an overview of the P/L development over time in the positions that have been or are currently in your portfolio. As well as a historical development of the highlighted positions. Use the filter options on the left-hand side to search for certain time series.



STEPS TO INVEST IN STOCKS ACROSS VIETNAM, TAIWAN, AND SOUTH KOREA.



“My Service” via Mobile Application



Note: If you would like to invest in Indonesia / Malaysia / Philippines or would like more information, please contact your Financial Consultant or +662-7960999

“My Service” via Website (www.kasikornsecurities.com)



- 1 Login to KS One or K-Cyber Trade Application.
- 2 Go to the My service menu, topic Foreign Investment.
- 3 Go to the menu “Global Invest Trade”.

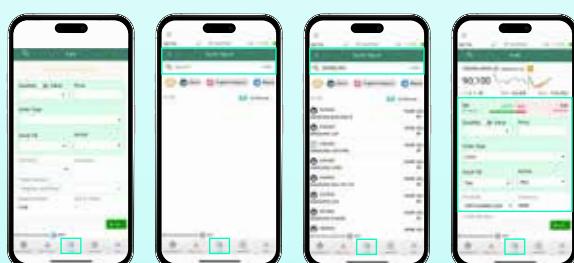
Once you enter the Platform



• Account-VND For investment: in Vietnam.

• Account-USD For investment: Taiwan, South Korea.

1. Menu Global Market: Displays asset class indices.
2. Menu My Account: Displays an overview of the customer portfolio, including the order list and account summary.
3. Menu Trade: For Place Order based on the equities that we will invest in.
4. Menu Watchlist: Display the list of stocks in which we have an interest. You can establish different requirements.
5. Menu Tab: Display information that customers can access in each menu.
6. Menu Portfolio: Display investment portfolio information distinctly to ensure accurate investment choices.
7. Menu Order list: Display trading order submission list.
8. Menu Account Summary: Display portfolio balance.



How to invest

- 1 Trade.
- 2 Enter the name of the stock or ticker code that you desire.
- 3 Choose stocks.
- 4 Enter information such as the number of shares, price, and period.

SCHEDULE OF FEES FOR INVESTMENTS IN EVERY MARKET

KASIKORN SECURITIES OFFSHORE INVESTMENT COMMISSION												
Trading Channel	Country	Exchange Name	Trading Currency	Settlement Currency	Commission Rate	Minimum Commission	Other Fees		Settlement Cycle	Trading Hours (Thai Time)	Trading Hours (Local Time)	
NORTH AMERICA ZONE												
Internet Trading / Marketing	Canada	Toronto Stock Exchange / TSX Venture Stock Exchange	CAD	CAD	CAD 0.08 per share	CAD 17	-		T+2	21:30 - 04:00*	09:30 - 16:00*	
	US	American Stock Exchange / NASDAQ Global Market / New York Stock Exchange / NYSE ARCA Stock Exchange	USD	USD	USD 0.08 per share (Price ≥ USD 25) USD 0.02 per share (Price < USD 25)	USD 4.99	- SEC Fee (Sell trades only): 0.000279% (from 22 May 2024 onwards) - Financial Transaction Tax for French ADRs with market cap exceeding 1 billion Euros (buy trades only): 0.30% - Financial Transaction Tax for Italian ADRs with market cap exceeding 500 million Euros (buy trades only): 0.10% - ADR Fee: Varies with each counter (Normally annual USD 0.05 per share)		T+1	21:30 - 04:00*	09:30 - 16:00*	
EUROPE ZONE												
Internet Trading / Marketing	Austria	Wiener Borse (Vienna) Stock Exchange	EUR	EUR	0.25%	EUR 8	-		T+2	15:01 - 16:00* 18:03 - 23:30*	09:01 - 12:00* 12:03 - 17:30*	
	Belgium	Euronext Brussels	EUR	EUR	0.25%	EUR 8	-			15:00 - 23:30*	09:00 - 17:30*	
	Denmark	OMX Copenhagen	DKK	DKK	0.25%	DKK 30	-			15:00 - 22:55*	09:00 - 16:55*	
	Finland	OMX Helsinki	EUR	EUR	0.25%	EUR 8	-			15:00 - 23:25*	10:00 - 18:25*	
	France	Euronext Paris	EUR	EUR	0.25%	EUR 8	-			15:00 - 23:30*	09:00 - 17:30*	
	Germany	Frankfurt (Xetra) Stock Exchange	EUR	EUR	0.25%	EUR 8	-			15:00 - 23:30*	09:00 - 17:30*	
	Ireland	Irish Stock Exchange	EUR	EUR	0.25%	EUR 8	-			15:00 - 23:28*	08:00 - 16:28*	
	Italy	Borsa Italiana	EUR	EUR	0.25%	EUR 8	-			15:00 - 23:30*	09:00 - 17:30*	
	Netherlands	Euronext Amsterdam	EUR	EUR	0.25%	EUR 8	-			15:00 - 23:30*	09:00 - 17:30*	
	Norway	Oslo Stock Exchange	NOK	NOK	0.25%	NOK 60	-			15:00 - 22:20*	09:00 - 16:20*	
	Portugal	Euronext Lisbon	EUR	EUR	0.25%	EUR 8	-			15:00 - 23:30*	09:00 - 17:30*	
	Spain	Bolsa de Madrid	EUR	EUR	0.25%	EUR 8	-			15:00 - 23:30*	09:00 - 17:30*	
	Sweden	OMX Stockholm	SEK	SEK	0.25%	SEK 60	-			15:00 - 23:25*	09:00 - 17:25*	
	Switzerland	Swiss Virt-X Swiss Exchange	CHF	CHF	0.25%	CHF 11	-			15:00 - 23:20*	09:00 - 17:20*	
	UK	London Stock Exchange SETS Market	GBP	GBP	0.25%	GBP 6	-			Stamp Duty (buy trades only): 0.5% for GBP-denominated counters 1.0% for EUR-denominated counters		
		London International Exchange	USD	USD	0.25%	USD 12	-			Panel and Merger Takeover (PMT): GBP 1.00 for any trade whose gross valuation exceeds GBP 10,000		
	London Stock Exchange SEAQ Market	GBP	GBP	0.25%	GBP 50	-				15:00 - 23:30* 08:00 - 16:30*		

Note:

- * Trading hours is not on daylight saving time.
- Commission rates for U.S. OTC Markets are 0.25% and minimum USD 50.
- Commission rates are excluded Regulatory Fee of 0.001% and VAT 7%.
- All fees can be changed by no prior notice.
- All fees are effective on 22 November 2024 onwards.

KASIKORN SECURITIES OFFSHORE INVESTMENT COMMISSION												
Trading Channel	Country	Exchange Name	Trading Currency	Settlement Currency	Commission Rate	Minimum Commission	Other Fees		Settlement Cycle	Trading Hours (Thai Time)	Trading Hours (Local Time)	
ASIA ZONE												
Internet Trading / Marketing	Australia	Australian Stock Exchange	AUD	AUD	0.25%	AUD 12	-		T+2	06:00 - 12:00	10:00 - 16:00	
	China	Shanghai Stock Exchange (Shanghai A)	CNH	CNH	0.25%	CNH 100	-			08:30 - 10:30 12:00 - 14:00	09:30 - 11:30 13:00 - 15:00	
	Hong Kong	Hong Kong Exchange	HKD	HKD	0.20%	HKD 90	-			08:30 - 11:00 12:00 - 15:00	09:30 - 12:00 13:00 - 16:00	
	Japan	Tokyo Stock Exchange	JPY	JPY	0.25%	JPY 2,000	-			07:00 - 09:30 10:30 - 13:25	09:00 - 11:30 12:30 - 15:25	
	Singapore	Singapore Exchange	SGD	SGD	0.25%	SGD 17	-			08:00 - 11:00 12:00 - 16:00	09:00 - 12:00 13:00 - 17:00	
	South Korea	Korea Exchange / KOSDAQ Exchange	KRW	USD	0.25%	KRW 50,000	-			Transaction Tax (sell order only): 0.03% (For Korea Exchange) Agriculture Tax (sell order only): 0.15% (For KOSDAQ Exchange)		
	Taiwan	Taiwan Stock Exchange	TWD	USD	0.25%	TWD 1,000	-			Agriculture Tax (sell order only): 0.18% (For KOSDAQ Exchange)		
	Vietnam*	Ho Chi Minh Stock Exchange / Hanoi Stock Exchange / Hanoi UPCOM Exchange	VND	VND	0.25%	VND 300,000	-			Sales Tax (sell order only): 0.10% (Round up to the nearest cents) Transaction Tax 0.03% (Round up to the nearest cents)		

Note:

- * Buy trades have to settled before sell trades can be executed (can only sell on T+2).
- Commission rates for U.S. OTC Markets are 0.25% and minimum USD 50.
- Commission rates are excluded Regulatory Fee of 0.001% and VAT of 7%.
- All fees can be changed by no prior notice.
- All fees are effective on 22 November 2024 onwards.

SCHEDULE OF FEES FOR INVESTMENTS IN EVERY MARKET

KASIKORN SECURITIES OFFSHORE INVESTMENT COMMISSION										
Trading Channel	Country	Exchange Name	Trading Currency	Settlement Currency	Commission Rate	Minimum Commission	Other Fees	Settlement Cycle	Trading Hours (Thai Time)	Trading Hours (Local Time)
ASIA ZONE										
Marketing Only	Indonesia	Indonesia Stock Exchange	IDR	SGD	0.25%	IDR 450,000	<ul style="list-style-type: none"> - VAT: 0.015% - Exchange Fees: 0.02% - Sales Tax (sell trades only): 0.10% - Assurance Fund: 0.01% (Additional 22,000 IDR per odd lot order done) 	T+2	Mon. - Thurs: 09:00 - 12:00 13:30 - 15:49 Only Friday 09:00 - 11:30 14:00 - 15:49	Mon. - Thurs: 09:00 - 12:00 13:30 - 15:49 Only Friday 09:00 - 11:30 14:00 - 15:49
	South Korea	Korea Exchange / KOSDAQ Exchange	KRW	SGD	0.65%	KRW 50,000	<ul style="list-style-type: none"> - Sales Tax (sell orders only): 0.30%, round up to nearest 10 KRW - Stamp Fee: 0.10% (rounded up to nearest dollar, max MYR 200 per transaction) - Foreign H+ 0.05% (rounded up to nearest cent, max MYR 1,000 per transaction) 	T+2	07:00 - 13:30	09:00 - 15:30
	Malaysia	Bursa Malaysia	MYR	SGD	0.25%	MYR 60		T+2	08:00 - 11:30 13:30 - 15:45	09:00 - 12:30 14:30 - 16:45
	Philippines	Philippine Stock Exchange	PHP	SGD	0.50%	PHP 2,000	<ul style="list-style-type: none"> - VAT: 0.02%, min 24 PHP - CCP: 0.01% - Stock Transaction Tax (sell order only): 0.60% - BSRD (Bangko Sentral Registration Document) Fee: 1000 PHP (for BUY & SELL trades) 	T+3	08:30 - 11:00 12:00 - 13:45	09:30 - 12:00 13:00 - 14:45
	Taiwan	Taiwan Stock Exchange	TWD	SGD	0.25%	TWD 1,000	<ul style="list-style-type: none"> - Sales Tax (sell order only): 0.30%, round down to nearest TWD - Trading Fee: TWD 250 per trade - Custody Charges: 0.01% on month end portfolio value 	T+2	08:00 - 12:30	09:00 - 15:30
	Vietnam*	Ho Chi Minh Stock Exchange / Hanoi Stock Exchange	VND	USD	0.43%	VND 900,000	<ul style="list-style-type: none"> - Sales Tax (sell order only): 0.10% - Transaction Levy 0.10% (Min VND 50,000) 	T+2	09:00 - 11:30 13:00 - 14:30	09:00 - 11:30 13:00 - 14:30

Note:

- Buy trades have to be settled before sell trades can be executed (can only sell on T+2).
- Commission rates are excluded Regulatory Fee of 0.001% and VAT of 7%.
- All commission rates and fees can be changed by no prior notice.
- All fees are effective on 22 November 2024 onwards.

GLOBAL MUTUAL FUND

GLOBAL MUTUAL FUND

Funds are gathered from investors and managed in accordance with the policies established by each fund. Fund managers from prominent international firms allocate these funds across a range of global assets.



TYPES OF MUTUAL FUNDS	DESCRIPTION
 MONEY MARKET	<p>Funds that invest in cash-equivalent assets for up to one year such as bills of exchange, promissory notes, and treasury bills, among others.</p>
 FIXED INCOME	<p>Funds invested in assets that provide stable returns, such as government and corporate bonds.</p>
 MIXED ALLOCATION	<p>Funds that invest in both bonds and stocks to meet their specific objectives.</p>
 EQUITIES	<p>Equity-focused funds Divide and allocate according to the investment's objectives.</p>

BENEFITS OF GLOBAL MUTUAL FUNDS



INVEST DIRECTLY
IN WORLD-CLASS MUTUAL FUNDS

to avoid the extra charges associated with indirect investments.



MITIGATE RISK AND ENHANCE INVESTMENT OPPORTUNITIES

by distributing capital across a diverse range of global assets. This strategy reduces the potential risks associated with investment concentration.



IMPROVE INVESTMENT EFFICIENCY AND ASSET ALLOCATION

by removing the need to spend time selecting assets globally to achieve your objectives.

LIST OF GLOBAL MUTUAL FUND

Money Market			
Asset Management	Name of Fund	Investment strategy	
 BNP PARIBAS ASSET MANAGEMENT	BNP PARIBAS USD MONEY MARKET "C" (USD) ACC	Focus on investing in USD money market instruments, deposits with institutions, loans, repurchase agreements and reverse repurchase agreements, money market-related stocks, and other liquid assets denominated in USD.	
	RISK LEVEL 4 RISK PROFILE LEVEL  2-5		
Fixed Income			
Asset Management	Name of Fund	Investment strategy	
 Janus Henderson INVESTORS	JANUS HENDERSON HORIZON GLOBAL HIGH YIELD BOND "A2" (USD) ACC	Invests mainly in private debt instruments with a policy of investing in corporate bonds with a credit rating lower than the investment grade rating.	
	RISK LEVEL 6 RISK PROFILE LEVEL  4-5		
 PIMCO	PIMCO INCOME "E" (USD) ACC	Invest in Fixed Income from a variety of sectors around the world.	
	RISK LEVEL 5 RISK PROFILE LEVEL  3-5		
 PIMCO	PIMCO GIS DIVERSIFIED INCOME "M" (USD) INC	The Diversified Income Fund provides efficient access to broad global credit market exposure by investing primarily in a diversified pool of corporate and emerging market fixed income securities of varying maturities.	
	RISK LEVEL 5 RISK PROFILE LEVEL  3-5		
 PIMCO	PIMCO GIS GLOBAL INVESTMENT GRADE CREDIT "M" (USD) INC	Investing at least two-thirds of its assets in a diversified portfolio of investment grade corporate fixed income instruments.	
	RISK LEVEL 5 RISK PROFILE LEVEL  3-5		
 PIMCO	PIMCO GIS GLOBAL HIGH YIELD BOND "M" (USD) INC	The Fund seeks maximum total return consistent with prudent investment management. The Fund invests at least two-thirds of its assets in a diversified portfolio of high yield fixed-income securities that are denominated in major world currencies.	
	RISK LEVEL 6 RISK PROFILE LEVEL  4-5		
 LOMBARD ODIER INVESTORS MANAGERS	LO FUNDS ASIA VALUE BOND "P" (USD) ACC	Invest at least 75% of its total assets in issuers with their main economic activities in the Asia Pacific region.	
	RISK LEVEL 5 RISK PROFILE LEVEL  3-5		
Mixed Allocation			
Asset Management	Name of Fund	Investment strategy	
 Allianz Global Investors	ALLIANZ INCOME AND GROWTH "CT" (USD) ACC	The Fund invests in a combination of common stocks and other equity securities, debt securities and convertible securities. At least 70% of its assets will be invested in companies registered in the USA and Canada.	
	RISK LEVEL 5 RISK PROFILE LEVEL  3-5		
 BlackRock	BGF GLOBAL ALLOCATION "A2" (USD) ACC	Investing globally in equity, debt and short-term securities, of both corporate and governmental issuers.	
	RISK LEVEL 5 RISK PROFILE LEVEL  3-5		
Equities			
Asset Management	Name of Fund	Investment strategy	
 ALLIANCEBERNSTEIN	AB INTERNATIONAL HEALTH CARE PORTFOLIO "A" ACC	The Portfolio's objective is growth of capital. The Investment Manager expects that at any time at least 80% of the Portfolio's total assets will be invested in securities issued by companies principally engaged in health care and health care-related industries.	
	RISK LEVEL 7 RISK PROFILE LEVEL  4-5		
 Investment Managers	AXA WORLD FUNDS – FRAMLINGTON DIGITAL ECONOMY "A" (USD) ACC	The Fund invests in equities of companies anywhere in the world that operate within the digital economy sector. The Fund will also invest in money market instruments, UCITS and UCIs.	
	RISK LEVEL 6 RISK PROFILE LEVEL  4-5		

LIST OF GLOBAL MUTUAL FUND

Asset Management	Equities		Investment strategy
	Name of Fund	Risk Level	
Baillie Gifford	BAILLIE GIFFORD WORLDWIDE LONG-TERM GLOBAL GROWTH "A" (USD) ACC	RISK LEVEL 6	The Fund's objective is to provide strong returns over the long term. The Fund invests in equities worldwide.
	BGF CONTINENTAL EUROPEAN FLEXIBLE "A2" (USDHGD ACC)	RISK LEVEL 6	Invest in equities of companies domiciled in Europe, excluding the UK, placing particular emphasis on companies exhibiting growth investment characteristics such as top-line organic growth.
BlackRock	CAPITAL GROUP NEW PERSPECTIVE FUND "B" (USD) ACC	RISK LEVEL 6	The Fund's objective is to provide long-term growth of capital and future income. The Fund invests primarily in common stocks that have the potential for growth and the common stocks of companies with the potential to pay dividends in the future.
	FIDELITY GLOBAL FINANCIAL SERVICES FUND "A" (USD) INC	RISK LEVEL 7	Invests mainly in equity securities of firms that offer financial services to individuals and businesses around the world.
J.P.Morgan ASSET MANAGEMENT	JPM CHINA "D" (USD) ACC	RISK LEVEL 6	The Fund invests primarily in companies in The People's Republic of China including Chinese companies based or operating in China that are quoted on the Hong Kong Stock Exchange or other non-Chinese stock exchanges.
	JPM GLOBAL DIVIDEND "A" (USD) ACC	RISK LEVEL 6	Invest in global equities that pay valuable dividends, and/or the possibility of sustainable dividend growth.
LAZARD ASSET MANAGEMENT	LAZARD GLOBAL LISTED INFRASTRUCTURE EQUITY "B" (USDHGD ACC)	RISK LEVEL 6	A UCITS certified fund that seeks income and capital appreciation that outperform inflation. It uses a "Preferred Infrastructure" philosophy focusing on equity securities of companies owning real infrastructure assets satisfying certain preferred criteria such as revenue certainty, profitability and longevity.
	LION GLOBAL VIETNAM FUND (USD) ACC	RISK LEVEL 6	The Fund invests in equities and related instruments and securities, fixed income instruments in Vietnam and Indo-China Region.
LOMBARD ODIER INVESTMENT MANAGERS	LO FUNDS PLANETARY TRANSITION P (USD) ACC	RISK LEVEL 6	The Fund's objective is to invest in high quality companies with sustainable financial models. The Fund invests at least 75% of its net assets will be exposed to the equity or equity related securities of corporate issuers worldwide.
	LO FUNDS WORLD BRANDS "P" (USD) ACC	RISK LEVEL 6	Invest in equity securities issued by corporations around the world, with a concentration on top global brands.
Schroders	SCHRODER INTERNATIONAL SELECTION FUND EMERGING ASIA "A" (USD) ACC	RISK LEVEL 6	The Fund aims to provide capital growth by investing in equity and equity related securities of companies in the emerging markets in Asia. The Fund may invest directly in China B-Shares and China H-Shares and may invest up to 10% of its assets in China A-Shares.
	WELLINGTON GLOBAL QUALITY GROWTH "D" (USD) ACC	RISK LEVEL 6	Investing primarily in equity securities issued by companies worldwide, emphasizing a balance of growth, valuation, capital return and quality criteria in selecting stocks.

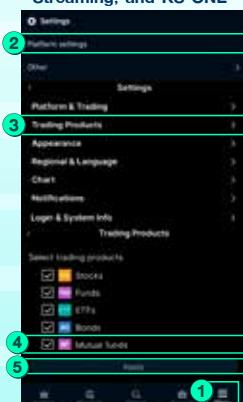
STEPS TO SET UP AND ADD GLOBAL MUTUAL FUND



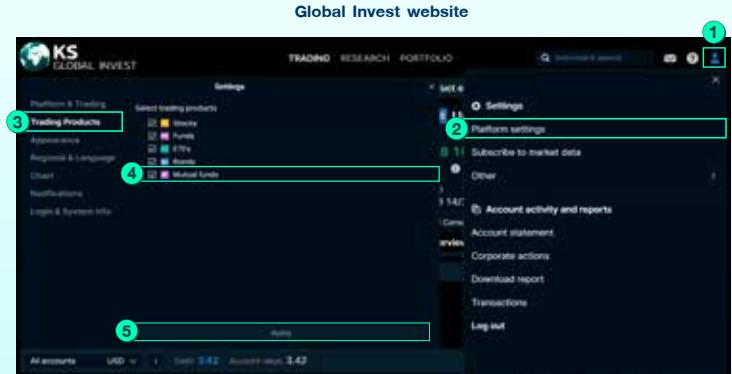
When logged in successfully

- 1 Enter to "My profile"
- 2 Select "Platform setting"
- 3 Select "Trading Products"
- 4 Select "Mutual funds"
- 5 Select "Apply"

Application K-Cyber Trade, Streaming, and KS ONE



Global Invest website



SCHEDULE OF FEES

Type of Fund	Front-end fee	Back-end fee
Money Market	0.00%	0.00%
Fixed Income	0.50%	0.00%

Type of Fund	Front-end fee	Back-end fee
Mixed Allocation	0.75%	0.00%
Equities	1.00%	0.00%

- Front-end fee and Back-end fee depend on the type of fund.
- Minimum Front-end fee is 1 USD for all Global Mutual Funds except for Money Market Funds. There will be a minimum value depending on the specific fund.

Disclaimer: Kasikorn Securities represents and acts on behalf of Kasikorn Securities customers only. The right to approve or reject an application depends on the tax representative. Therefore, customers may be asked for additional documents in the future, which the company will inform investors about.

Warning: Investing carries risks. Investors should review the information thoroughly before making any investment decisions.

U.S TREASURY BONDS

U.S. TREASURY BONDS

EXPAND A NEW DIMENSION OF THE INVESTMENT WORLD WITH THE US GOVERNMENT BOND MARKET.



U.S TREASURY BONDS

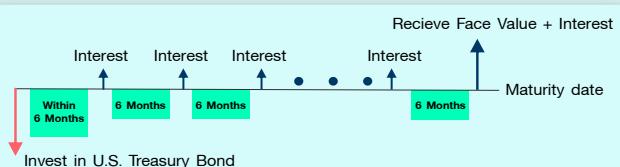
Treasury securities including Treasury bills, notes, and bonds are debt obligations issued by the U.S. Department of the Treasury. Treasury securities are considered one of the safest investments because they are backed by the full **faith and credit** of the U.S. government.

COMPONENTS OF U.S. TREASURY BOND

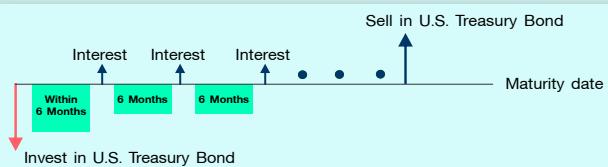
NOMINAL VALUE/FACE VALUE	The face value of the bond issuer must be paid back to the investor at the maturity date. In general, U.S. government bonds It has a face value of USD100 per unit.
COUPON RATE	The interest rate that the debt issuer will pay investors at specified periodic intervals throughout the life of the debt instrument. It is usually paid semi-annually.
MATURITY DATE	The maturity date at which the debt issuer must repay the principal. and final interest (if any) to investors.
YIELD TO MATURITY	Total return from holding debt instruments Until the maturity date of redemption which is calculated from interest and the difference between the face value of the note and the price of the debt instrument.
ACCRUED INTEREST	The interest that the holder should receive from selling the debt instrument is calculated based on the cumulative daily interest from the last interest payment date until the date the holder sells the debt instrument. The buyer of the debt instrument must pay accrued interest to the seller, which has not yet been included in the buying and selling price of the instrument.

INVESTMENT OPTIONS

In the case of holding until the due date



In the case of selling bonds before the maturity date



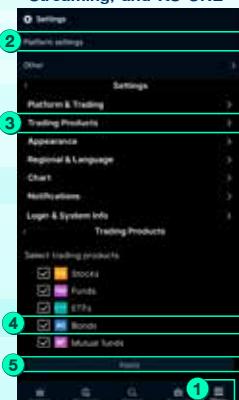
STEPS TO SET UP AND ADD GLOBAL BOND



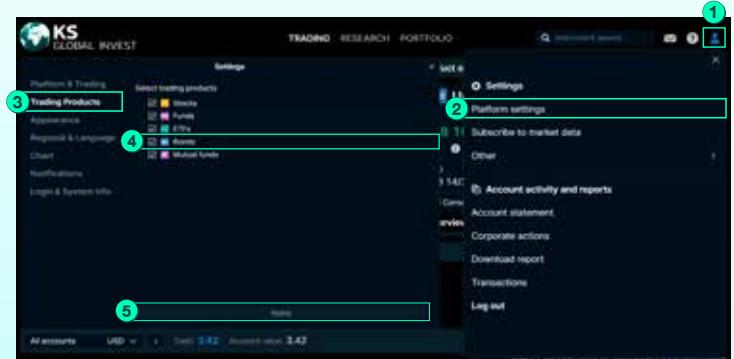
When logged in successfully

- 1 Enter to "My profile"
- 2 Select "Platform setting"
- 3 Select "Trading Products"
- 4 Select "Bonds"
- 5 Select "Apply"

Application K-Cyber Trade, Streaming, and KS ONE



Global Invest website



FEES

Front-End Fee 0.25% with a minimum fee of USD 40 (excluding VAT) and Custody Fee 0.10% per annum.

Note: In the case of holding until the redemption period expires, there will be no Back-End Fee.

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Warning: Investing is risky. Investors should study the information carefully before making investment decisions.

STEPS TO ACCESS GLOBAL INVEST RESEARCH PORTAL

- 1 Enter to www.kasikornsecurities.com
- 2 Select “KS Global Invest Research”



Research by CFRA



- Stock Research
- ETFs Research
- Research Notes
- Thematic Research
- Industry Surveys
- Investment Strategy (U.S, Europe, Asia)
- The Outlook

KS GLOBAL INVEST PORTFOLIO

KS Global Invest Portfolio Analysis provides comprehensive tools to track your investment performance, offering insights such as trading performance, key investment indicators, historical data analysis, market sentiment, and trade notes.

- 1 Portfolio Summary
- 2 Current Portfolio
- 3 Current Portfolio around the world
- 4 Historical Portfolio
- 4 Gain/Loss Performance
- 6 History Dividend
- 7 Transaction view

This information on global investments will help you understand your return on investment, enabling effective decision-making and portfolio adjustments.

You can access it at the “My Service menu” in the topic of special additional services.

**Log in to
KS ONE or K-Cyber Trade Application**



- 1 Select menu: My Service
- 2 Global Invest section,
Select “Global Invest Portfolio”

Log in to KS Trade Website



- 1 Select menu: My Service
- 2 Global Invest section,
Select “Global Invest Portfolio”

FREQUENTLY ASKED QUESTIONS

Q: Taxation from investing in foreign countries?

A: Start from 1 January 2024. In the case of gains (profits) from investments in foreign countries, if the profits are transferred back to Thailand, they must be included in the investor's income and reported to the Revenue Department.

Tax planning

The profit earned from the investment is calculated into the annual tax base.



Q: Customers can reduce US market dividend tax?

A: Clients who hold Thai citizenship will benefit from a reduction in dividend tax from 30 percent to 15 percent. For clients with citizenship in other countries, the reduction in dividend tax will be determined based on the agreement between the U.S. Internal Revenue Service (IRS) and the client's country.

***Remark:** Please kindly note that this is not applied for American Depository Receipts (ADR)

Apply

U.S. Tax Relief at Source

Not Apply

U.S. Tax Relief at Source

Withholding Tax

15%

30%

U.S. Tax Reporting Application fee

2,000 THB / 3 Years
(All types of income)

Actual tax reporting expenses (minimum 80 USD) per type of income / Year

*Approximately 2,800 THB (based on the reference exchange rate of USD/THB at 35.00 THB)

W-8BEN Registration

APPENDIX

ADDITIONAL DETAILS FOR USING PLATFORM

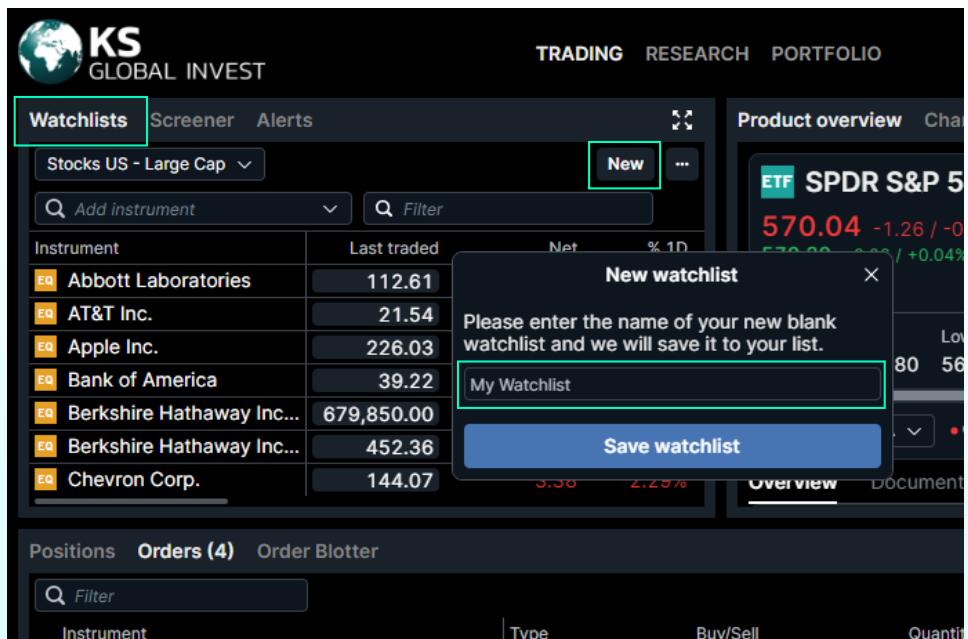
ADDITIONAL DETAILS FOR INVESTING IN GLOBAL STOCKS, FUNDS, US TREASURY BOND

(TRADING STOCKS IN THE US, HONG KONG, EUROPE AND OTHERS,
EXCLUDING VIETNAM, TAIWAN, SOUTH KOREA)

How to create a Watchlist

Create your watchlist in KS Global Invest platform

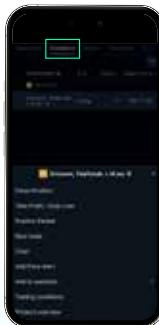
1. Navigate to Watchlists in the Trading area, then click on New.
2. Name your list in the pop-up, then click Save.



The screenshot shows the KS Global Invest platform interface. At the top, there is a navigation bar with the KS logo, 'GLOBAL INVEST', and links for 'TRADING', 'RESEARCH', and 'PORTFOLIO'. Below the navigation bar, there is a search bar with dropdown menus for 'Watchlists', 'Screener', and 'Alerts'. A 'New' button is highlighted with a red box. To the right, there is a 'Product overview' section showing an ETF for SPDR S&P 500 with a price of 570.04 and a percentage change of +0.04%. Below the search bar, there is a table titled 'Stocks US - Large Cap' showing the last traded price for several companies: Abbott Laboratories (112.61), AT&T Inc. (21.54), Apple Inc. (226.03), Bank of America (39.22), Berkshire Hathaway Inc... (679,850.00), Berkshire Hathaway Inc... (452.36), and Chevron Corp. (144.07). A 'New watchlist' modal is open in the center, prompting the user to enter a name for the new watchlist. The input field contains 'My Watchlist' and the 'Save watchlist' button is highlighted with a red box. At the bottom of the interface, there are tabs for 'Positions', 'Orders (4)', and 'Order Blotter', along with a 'Filter' button and columns for 'Instrument', 'Type', 'Buy/Sell', and 'Quantity'.

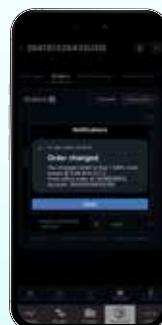
ADDITIONAL DETAILS FOR INVESTING IN GLOBAL STOCKS, FUNDS, US TREASURY BOND

(TRADING STOCKS IN THE US, HONG KONG, EUROPE AND OTHERS,
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Order Type

Market Order	Executes the trade at the market price when the order is placed.
A limit Order	A type of order, that allows you to buy or sell a security at a predetermined price.
A stop order	An order that will buy or sell an instrument at market price if the specified price is met. Stop orders can be used to both enter the market or sell existing positions.
Stop Limit	Similar to a regular stop order, but once the stop price is met, it converts to a limit order with a price you choose.
OCO (One Cancels the Other)	An order type used to place stop-loss and take-profit orders on a net position, as well as to enter the market.
Trailing Stop Order	protects gains and limits losses by keeping the trade open as long as the price moves favorably, automatically closing if the price changes by a specified percentage or amount.



How to modify an order on the platform

You can always amend the order price by following these steps:

- Portfolio > Orders
- Find your order and click on the icon on the far-right side
- Choose Modify Order
- Edit order price and amount

Note: that is it not possible to edit an order 'type'. To do that, you need to cancel the order and place a new order.



Order duration

Good 'til Cancelled (GTC)	An order that will remain open until it is either filled or until you cancel it yourself.
Day order	Day orders are orders that will remain open until the market closes on the day the order was placed.

ADDITIONAL DETAILS FOR INVESTING IN GLOBAL STOCKS, FUNDS, US TREASURY BOND

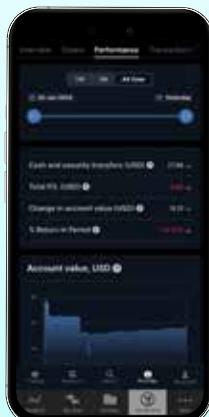
(TRADING STOCKS IN THE US, HONG KONG, EUROPE AND OTHERS, EXCLUDING VIETNAM, TAIWAN, SOUTH KOREA)

Part: The Financial Calendar

Our platform offers a Financial Calendar to keep you up to date with upcoming economic data releases and events around the world. The Financial Calendar is available in the platform, under the Research > Calendar tab.



Part: Portfolio



Portfolio Detail

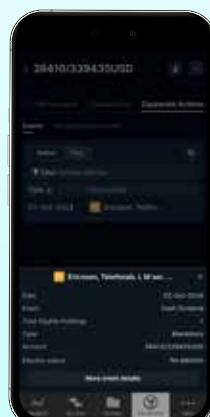
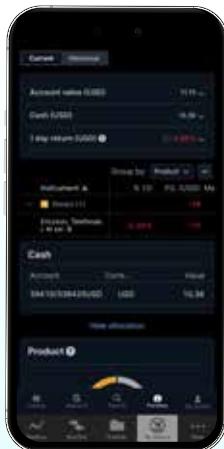
Total P/L	The end-of-period Account Value adjusted for the beginning-of-period Account Value and changes resulting from cash and security transfers.
Account Value	The sum of all position values and cash in the account.
Total % Return	The daily percentage returns over a specified chosen period e.g. YTD.
Change in account value	This shows the changes in all transactions and movements that occurred in a selected account over a given period.

ADDITIONAL DETAILS FOR INVESTING IN GLOBAL STOCKS, FUNDS, US TREASURY BOND

(TRADING STOCKS IN THE US, HONG KONG, EUROPE AND OTHERS,
EXCLUDING VIETNAM, TAIWAN, SOUTH KOREA)

Part: Account

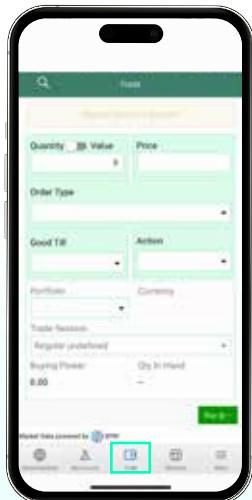
Instrument P/L the change in the value of a position, taking into account costs associated with (e.g commissions) or income earned (e.g realised P/L) on that position.



A corporate action is any action taken by a corporation that affects its shareholders. There are many different types of corporate actions. Common corporate actions include the payment of dividends, stock splits, tender offers, and mergers and acquisitions.

"Trade" Menu: Placing a Trade Order

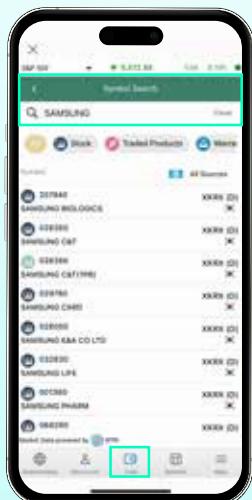
1 Go to the Trade menu.



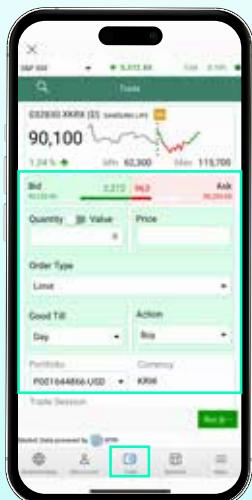
2 Enter the stock name or Ticker Code you wish to invest in.



3 Select the stock.



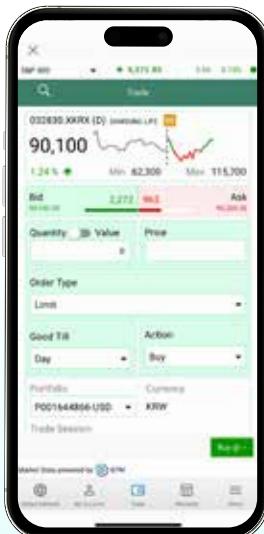
4 Specify the Quantity, Price, and Duration.



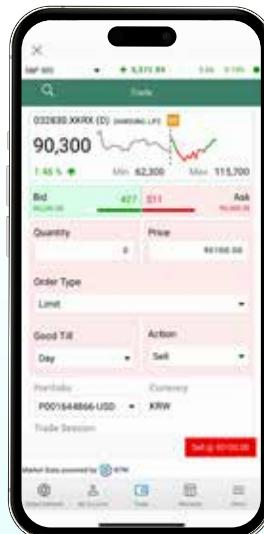
ADDITIONAL DETAILS FOR INVESTING IN STOCKS IN VIETNAM, TAIWAN, AND SOUTH KOREA.

Trade “Buy & Sell”

BUY



SELL



Stock Order Types and Settings

Quantity / Value

Quantity Specify the number of shares to trade.

Value Specify the value to trade.

Price Price at which you want to send the order (for order type “Market”, no need to specify the price).

Order Type: Type of trading order.

Market Buy-sell according to the market price at that time.

Limit Buy-sell according to customized price.

Good Till Setting the expiration date for trading orders

Day The order remains valid until the end of the trading day.

At the opening Execute the order at the opening price.

IOC The order is partially filled immediately, and any unfilled portion is canceled.

FOK The order must be fully filled immediately, or it will be canceled entirely.

Action Buy or Sell.

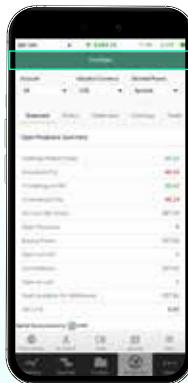
ADDITIONAL DETAILS FOR INVESTING IN STOCKS IN VIETNAM, TAIWAN, AND SOUTH KOREA.

Check your portfolio via "Trading Account."

Select "Menu" on the bottom tab bar, then choose "Trading Account."



Portfolio Summary
Displays an overview of investment details.



Menu Portfolio-Classic
Show details of investment overview.



Order Search Menu
Displays details of trading transactions.



Account Details menu
Show investment summary and download channels Monthly Statement.



Close Position menu
Show details of past trades.

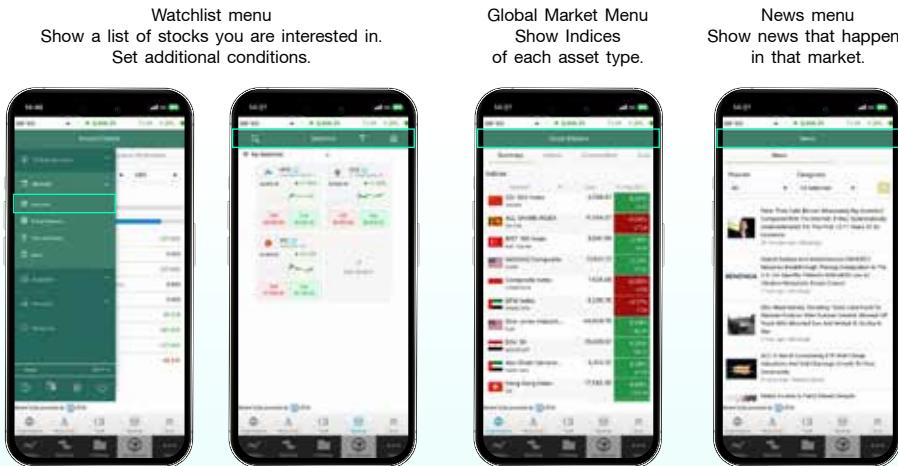


Corporate Action menu
Shows details of each stock's marking.

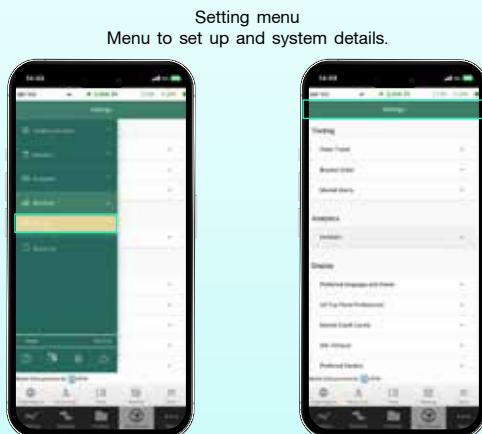


ADDITIONAL DETAILS FOR INVESTING IN STOCKS IN VIETNAM, TAIWAN, AND SOUTH KOREA.

Menu: “Market”



Menu: “Service”



ADDITIONAL DETAILS FOR INVESTING IN STOCKS IN VIETNAM, TAIWAN, AND SOUTH KOREA.

Menu: “Analytics”



Symbol Name

Chart Duration	The app supports chart duration options ranging from 1 day to 10 years in duration.
Chart Type	Chart Type Switches: The app supports the following chart-type options. <ul style="list-style-type: none"> • Bars • Candles • Hollow Candles • Heikin Ashi • Line • Area • Baseline
Compare Symbols	Clicking on the 'Compare' button will open a search box allowing you to add another symbol or index you wish to compare.
Technical Analysis Indicators	Technical Analysis Indicators: Clicking on the Indicators icon will open a list with a range of technical analysis indicators that can be added to the chart view as an overlay.
Indicators	Indicators that can be added to the chart view as an overlay.
Undo / Redo	Reverses the last action / Reapplies the undone action.
Chart Settings	Chart Settings: This gives you access to a range of advanced customization options. Click here to learn about these in detail.
Symbol settings	Controls the color options of multiple chart elements such as the candlestick elements, and more.
Status Line	Controls options such as OHLC values, indicator labels, background color of status lines, and more.
Scales	Controls options related to price, indicator, value, and date labels.
Appearance	Controls for customizing color of chart background, crosshair, scale & grid lines, text size, and more.
Snapshot	To take a snapshot/ screenshot of the graph at any point in time and view press the snapshot button.

KSecurities



GLOBAL INVEST



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⌚ Business days and hours : Monday - Friday 8:30 A.M. - 5:00 P.M. Except holidays observed by the bank of Thailand.